



*Department of Transportation
aka. FMCSA*

PRESENTED BY SHEAKLEY

WORKFORCE MANAGEMENT SERVICES





FMCSA / FMCSR

The Motor Carrier Safety Improvement Act of 1999 created the Federal Motor Carrier Safety Administration (FMCSA) as a separate administration within the U.S. Department of Transportation on January 1, 2000. The primary mission of FMCSA is to reduce crashes, injuries, and fatalities involving large trucks and buses. FMCSA is headquartered in Washington, D.C., and employs more than 1,000 individuals in all 50 States, the District of Columbia, and Puerto Rico.

PUCO

The Public Utilities Commission of Ohio (**PUCO**) is the public utilities commission of the U.S. state of Ohio, charged with the regulation of utility service providers such as those of electricity, natural gas, and telecommunications as well as railroad safety and intrastate hazardous materials transport.





DOT Determination

Consider the following:

1. What type of unit/ truck is being utilized for transportation
2. What commodities are you hauling, carrying, transporting, distributing
3. Where are you going – in state, out of state





Vehicle Type

What type of vehicles do you own / operate:

- Has a gross vehicle weight rating (GVWR) or gross combination weight rating, or gross vehicle weight or gross combination weight, of 4,536 kg (10,001 pounds) or more, whichever is greater; or
- Is designed or used to transport more than 8 passengers (including the driver) for compensation; or
- Is designed or used to transport more than 15 passengers, including the driver, and is not used to transport passengers for compensation.





Vehicle Type Examples

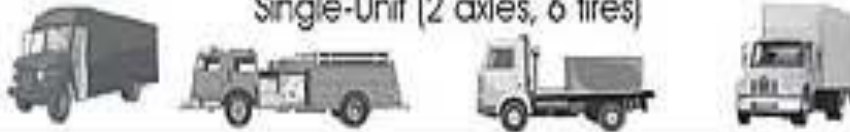
Bus - (9-15 Seats Including Driver)



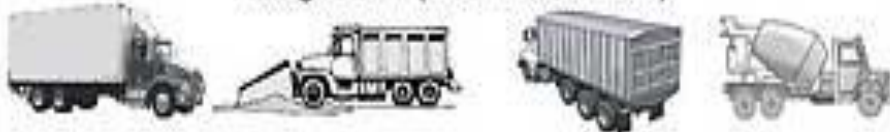
Bus - (16 or More Seats Including Driver)



Single-Unit (2 axles, 6 tires)



Single-Unit (3 or more axles)



Truck/Trailer (Single-Unit Truck pulling a trailer)



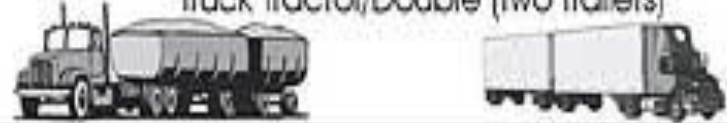
Truck Tractor (Bobtail)



Tractor/Semi Trailer (one trailer)



Truck Tractor/Double (two trailers)



Truck Tractor/Triples (three trailers)





GVWR vs. GVW

Gross Vehicle Weight (GVW) = Vehicles actual weight


Gross Vehicle Weight rate (GVWR) = Vehicles weight limit

MFD. BY FORD MOTOR CO. IN U.S.A.

DATE: 03/96	GVWR: 4900LB/ 2222KG
FRONR GAWR: 2537LB	REAR GAWR: 2750LB
1150KG	1247KG
P225/70R14SL	P225/70R14SL
14X6.0J	14X6.0J
AT 35 PSI COLD	AT 35 PSI COLD

WITH TIRES RIMS | WITH TIRES RIMS

VIN: 1FTCR10UXTPA78180 **F0026**
TYPE: US CERT VOID-EXPORT **T0104**



TR **9A**
EXTERIOR PAINT **RC | DSO**

WB | BRK | INT | TR | TP/PS | R | AXLE | TR | SPR
108 D E2 E F6 M BG4C

UTE **▽ F65B-1520472-AA**





Private/ For Hire

What type of commodities are you transporting:

Private

- Beverage delivery trucks
- Farm vehicles
- Landscapers, construction
- Utility vehicles

For Hire

- Truckload carriers of someone else's goods
- Household goods
- Tow trucks
- Buses/large limos
- Couriers and local couriers





Hazardous Material

Is used to transport the types and quantities of hazardous materials:

Category of material (Hazard Class or division number and additional description, as appropriate)	Placard name
1.4.....	EXPLOSIVES 1.4.....
1.5.....	EXPLOSIVES 1.5.....
1.6.....	EXPLOSIVES 1.6.....
2.1.....	FLAMMABLE GAS.....
2.2.....	NON-FLAMMABLE GAS.....
3.....	FLAMMABLE.....
Combustible Liquid.....	COMBUSTIBLE.....
4.1.....	FLAMMABLE SOLID.....
4.2.....	SPONTANEOUSLY COMBUSTIBLE.....
5.1.....	OXIDIZER.....
5.2 (Other than organic peroxide, Type B, liquid or solid, temperature controlled).....	ORGANIC PEROXIDE.....
6.1 (Other than materials poisonous by inhalation).....	POISON.....
6.2.....	(None).....
8.....	CORROSIVE.....
9.....	Class 9 (See §172.504(f)(9)).....
ORM-D.....	(None).....

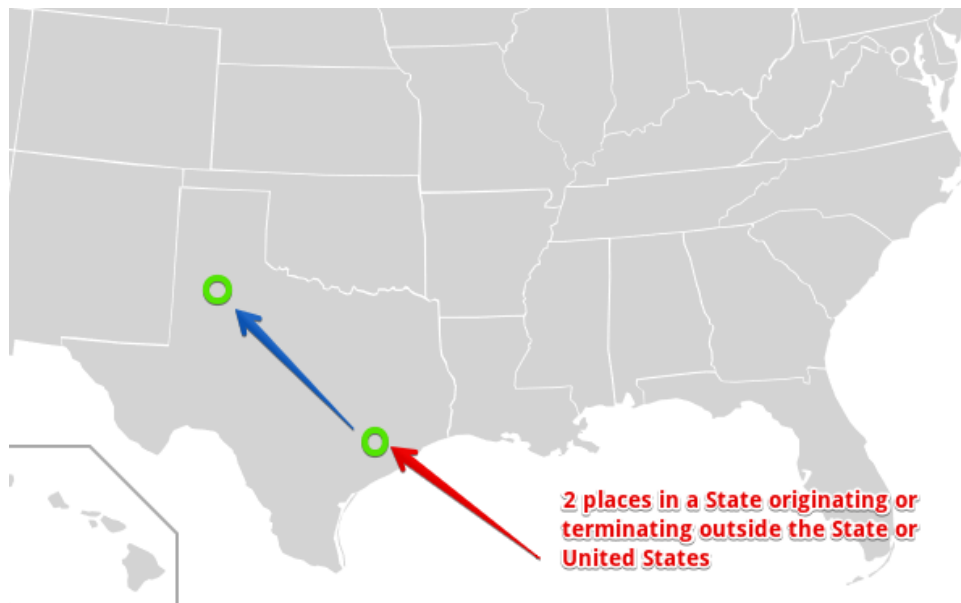




Interstate Involvement

If you perform trade, traffic, or transportation exclusively in your business's domicile state, this is considered intrastate commerce. If your trade, traffic, or transportation is one of the following, this is considered interstate commerce:

- *Between a place in a state and a place outside of such state (including a place outside of the United States)*
- *Between two places in a state through another state or a place outside of the United States*
- *Between two places in a state as part of trade, traffic, or transportation originating or terminating outside the state or the United States*





Putting It Together!

Private, Intrastate Carriers –

- All single units or combination (truck/trailer) with a gross vehicle weight rate weight (GVWR) UNDER 26k lbs is NOT regulated
- All single units or combination (truck/trailer) with a gross vehicle weight rate weight (GVWR) OVER 26k lbs is regulated – roadside only. Not DOT is required but ORC requires medical card, HOS, truck marked
- All regulated vehicles must have a regulated driver meaning medical cards, driver qualification file.... All apply!

Private, Interstate Carriers –

- All single units or combination (truck/trailer) with a gross vehicle weight rate weight (GVWR) under 26k lbs but over 10k is regulated
- All single units or combination (truck/trailer) with a gross vehicle weight rate weight (GVWR) OVER 26k lbs is regulated
- All regulated vehicles must have a regulated driver meaning medical cards, driver qualification file.... All apply!

For-Hire Carriers –

All for-hire carriers are regulated regardless of travel boundaries (Intra only = PUCO)





Putting It Together – SIMPLY!

INTERSTATE FOR-HIRE >10,000 GVWR – DOT#, MC#, PUCO# AND UCR

INTERSTATE PRIVATE >10,000 GVWR– DOT# AND UCR

INTRASTATE FOR-HIRE >10,000 GVWR – PUCO#

INTRASTATE PRIVATE >26,000 GVWR –

They don't need any of the these; however, they are still regulated and still must mark the cmv with the name of the company. All of the other regulations (dq file, drug and alcohol, hours of service etc) still apply.





Transportation Components

To address compliance and raise awareness, lets review the following top components ...

- Employment process - driver qualifications
- Drug and alcohol program
- Logbooks - hours of service and mileage
- Vehicle maintenance program
- Hazardous material training (*if applicable*)
- Comprehensive Safety Analysis (CSA) scoring – 7 BASIC'S





Driver Qualification Files (49 CFR Part 391)

Purpose -

Verifies if drivers are qualified based on the commercial motor vehicle being operated

Requirements –

- DOT Application
- Past employment verifications
- Copy of license, copy of medical card, NMRE, state inquiry
- 3-year motor vehicle record report for new hires
- Annual motor vehicle record report each year thereafter
- 12-month list of drivers violations/ citations
- Employers annual review of driving record
- Medical card renew – Abstract (MVR) if CDL & NMRE
- Road-Test if applicable – Related to Non-CDL operators
- Hours-of-Service – 7-day Prior





Drug / Alcohol Testing (49 CFR Part 382,383,391,392)

Purpose -

Verifies company's procedures for hiring and maintaining drivers and testing for controlled substances and alcohol

Requirements –

- Development of a formal written drug/ alcohol testing policy for CDL operators
- All those that oversee CDL operators must have 2-hour training
- Prove that CDL operators are in a random D/A testing program (25%/ 10%)
- Random selection sheets are maintained
- Federal Chain-of-Custody's are maintained
- Testing results are on file
- Third-party vendor provides semi-annual and annual summary reports





Hours-of-Service (49 CFR Part 395)

Purpose -

Verifies information in the records of duty status and/or time records and determines if a commercial motor vehicle driver has been on duty or driven past the total number of hours allowed

Requirements –

- Will cover 6 month period prior to compliance review
- Include log book sheets when air mile radius is exceeded
- Include log book sheets when on-duty time exceeds 12 hours in a shift
- Provide daily “time sheets” for when a log book does not apply
- Provide payroll records, fuel receipts, toll receipts, etc. as supporting evidence
- Confirm proper discipline occurs for hours of service violations
 - *Missing 30-minute break, driving past 14th hour, lack of 10 consecutive hours off*





Maintenance Review (49 CFR Part 393 & 396)

Purpose -

Verifies the effectiveness of vehicle maintenance practices and determines general condition of motor carrier's fleet

Requirements –

- Will cover 12 month period prior to compliance review
- Include 90-day history of Pre-Trip/ Post-Trip vehicle inspections
- Include copies of annual vehicle inspections
- Provide copies of preventative maintenance (PM) schedules
- Provide truck and trailer files that include repair orders/ work orders and parts invoices
- Provide brake certification if applicable





Hazardous Material (49 CFR 171-180)

Purpose -

Verifies carriers processes when transporting hazardous material to assure that education and safety processes are in place.

Requirements –

- Have employees who transport and prepare hazardous material available to verify training and procedures
- All new hires whom handle shipping papers, to forklift operators, to drivers must have initial training within 90-days and refresher every 3-years
- Provide copies of quizzes and materials reviewed during training
- Provide shipping papers for review
- Provide Material Safety Data Sheets (MSDS) for review
- Provide Hazmat Registration papers
- Assure any driver hauling placard amount has proper license endorsement





CSA – Meaning & Importance

Compliance, Safety, Accountability

- FMCSA's data-driven safety compliance and enforcement program
- Designed to improve safety and prevent commercial motor vehicle (CMV) crashes, fatalities, and injuries
- Helps carriers and drivers improve safety compliance, so everyone gets home safely





Locating Safety Rating

<https://csa.fmcsa.dot.gov>

ABC Trucking
123 Main Street
BLUFFTON, OH 45817
Number of Vehicles: 8
Number of Drivers: 5
Number of Inspections: 33

Safety Rating & OOS Rates

(As of 07/28/2015 updated daily from [SAFER](#))

SATISFACTORY

(Rating Date: 12/23/2013)

Out of Service Rates

Type	OOS %	National Avg %
Vehicle	38.1	20.7
Driver	0.0	5.5
Hazmat	5.6	4.5

Licensing and Insurance

(As of 07/28/2015 updated hourly from [L&I](#))

Type	Active For-Hire Authority	
	Yes/No	MC#/MX#
Property	No	
Passenger	No	
Household Goods	No	
Broker	No	

U.S. DOT# 12 has no current for-hire operating authority with FMCSA.

BASIC Status (Public View)

How does SMS relate to crashes?

Behavior Analysis & Safety Improvement Categories (BASICS)


Based on a 24-month record ending June 19, 2015




UNSAFE DRIVING




Not Public
CRASH INDICATOR




HOURS-OF-SERVICE COMPLIANCE




VEHICLE MAINTENANCE



CONTROLLED SUBSTANCES AND ALCOHOL



Not Public
HAZARDOUS MATERIALS COMPLIANCE



DRIVER FITNESS



DOT Safety Rating








- # 1 **Unsafe driving (65%)**
 - Includes traffic violations, seat belt violations
- # 2 **Hours of Service (65%) after 3 inspections**
 - Relates to fatigue driving and to false logs
- # 3 **Driver Fitness (80%) – after 5 inspections**
 - Includes having the proper license and current medical card
- # 4 **Controlled Substances and Alcohol (80%)**
 - Includes violations of drug and alcohol regulations including history
- # 5 **Vehicle Maintenance (80%) – after 5 inspections**
 - Relates to the vehicle's defects, maintenance policies and procedures
- # 6 **Hazardous Material (80%)**
 - Load security and hazmat rules
- # 7 **Crash Indicator (65%)**
 - Includes all DOT recordable crashes




BASIC Status (PublicView)

Behavior Analysis & Safety Improvement Categories (BASICS)

Based on a 24-month record ending June 20, 2014

 <p>UNSAFE DRIVING</p>	 <p>Not Public CRASH INDICATOR</p>	 <p>HOURS-OF-SERVICE COMPLIANCE</p>	 <p>VEHICLE MAINTENANCE</p>	 <p>CONTROLLED SUBSTANCES AND ALCOHOL</p>	 <p>Not Public HAZARDOUS MATERIALS COMPLIANCE</p>	 <p>DRIVER FITNESS</p>
---	--	---	--	---	---	--

Click to select a BASIC icon above to get details, or view your [Complete SMS Profile](#).

 Denotes this carrier exceeds the FMCSA intervention threshold relative to its safety event grouping based upon roadside data and/or has been cited with one or more serious violations within the past 12 months during an investigation. Therefore, this carrier may be prioritized for an intervention action and roadside inspection.

On-Road Performance

11.54 Measure	NOT PUBLIC	2.06 Measure	4.52 Measure	0 Measure	NOT PUBLIC	0 Measure
80% Percentile		80% Percentile	45% Percentile	0% Percentile		0% Percentile

Investigation Results

No Serious Violations Discovered	N/A	No Serious Violations Discovered	No Serious Violations Discovered	 Serious Violations Discovered	NOT PUBLIC	No Serious Violations Discovered
----------------------------------	-----	----------------------------------	----------------------------------	---	------------	----------------------------------

Violations	Description	# Violations	# OOS Violations	Violation Severity Weight	BASIC
392.16	Failing to use seat belt while operating CMV	1	0	7	Unsafe Driving
391.15A-NSIN	Driving a CMV while disqualified. Suspended for non-safety-related reason and in the state of driver's license issuance.	1	1	5	Driver Fitness
391.41A-F	Operating a property-carrying vehicle without possessing a valid medical certificate.	1	0	1	Driver Fitness
393.60(c)	Damaged or discolored windshield	1	0	1	Vehicle Maint.
393.95(a)	No/discharged/unsecured fire extinguisher	1	0	2	Vehicle Maint.
396.17(c)	Operating a CMV without periodic inspection	1	0	4	Vehicle Maint.

INSPECTION HISTORY

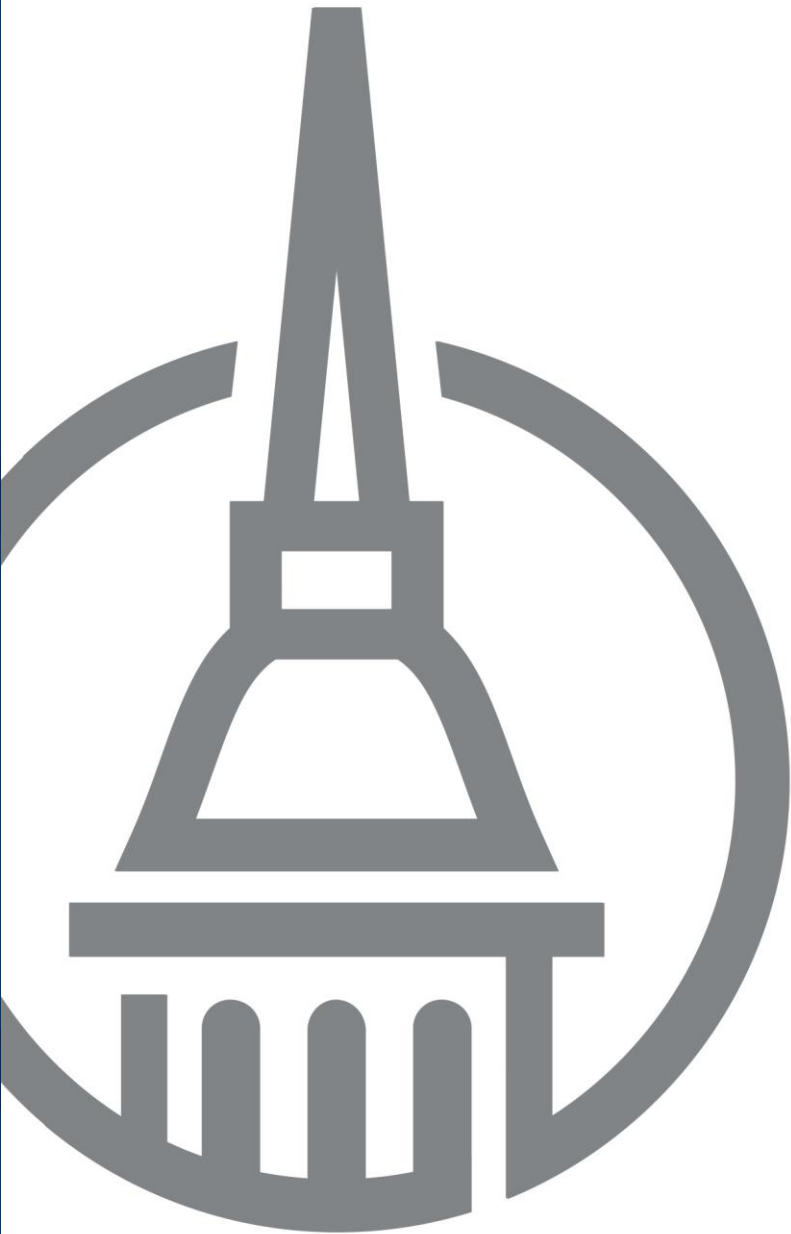
Total Inspections: 1

REPORT				VEHICLE			MEASURE	
Inspection Date	Number	State	Type	Plate Number	Plate State	Type	Severity Weight	Time Weight
3/27/2013	KYB005000662	KY	Vehicle/Driver	PEZ1447	OH	Straight Truck		2
Unsafe Driving Violation: 392.16 Failing to use seat belt while operating CMV							7	
Vehicle Maint. Violation: 393.60(c) Damaged or discolored windshield							1	
Vehicle Maint. Violation: 393.95(a) No/discharged/unsecured fire extinguisher							2	
Vehicle Maint. Violation: 396.17(c) Operating a CMV without periodic inspection							4	
Driver Fitness Violation: 391.15A-NSIN Driving a CMV while disqualified. Suspended for non-safety-related reason and in the state of driver's license issuance. (OOS)							5 + 2 (OOS)	
Driver Fitness Violation: 391.41A-F Operating a property-carrying vehicle without possessing a valid medical certificate.							1	

CRASH ACTIVITY DETAIL (vehicles involved in crashes)

Number of Crashes: 0

This carrier has no reportable crashes to display.



Sheakley
Workforce Management
Services

Jillian Santel

800-877-5055 x. 1150

jillian.santel@sheakley.com

